Guidelines for Completion of an Application for Certificate of Practice

Partnership

Limited Licence Holders

It is a condition of every certificate of practice that is held by a limited licence holder, or under which a limited licence holder personally supervises and directs the practice of architecture, that the certificate is subject to the same terms, conditions and limitations to which the limited licence is subject.



Index

General

Completion of Application

Appendix 1 – List of Documents

Appendix 2 – Voting Interest and Financial Interest of Partnerships

Payment of Fees Form

Application for Certificate of Practice – Partnership

Undertaking to the Ontario Association of Architects

IMPORTANT

In order to offer or provide architectural services to the public in Ontario, as defined in the <u>Architects Act</u>, an individual or entity must be the holder of a certificate of practice. The provision of architectural services, prior to the issuance of a limited licence and certificate of practice, may be prejudicial to the granting of a limited licence and/or a certificate of practice.

Until an application for a certificate of practice is approved by the Ontario Association of Architects (OAA), it is a contravention of the *Architects Act* to promote, offer, or provide architectural services in Ontario in the name of the new practice, and items such as letterhead, cheques, signs, etc., should not be ordered.

Architectural services provided under a certificate of practice must be personally supervised and directed by a member of the Association.

It is a condition of every certificate of practice that is held by a limited licence holder, or under which a limited licence holder personally supervises and directs the practice of architecture, that the certificate is subject to the same terms, conditions and limitations to which the limited licence is subject.

If personal supervision and direction of the practice of architecture performed under this certificate of practice will be provided by a limited licence holder or a limited licence holder holds any part of the certificate of practice, then the certificate of practice will be subject to the same terms, conditions and limitations to which the limited licence is subject. If architectural services performed by the certificate of practice are not restricted in accordance with the terms, conditions and limitations of the limited licence, then the holder of the certificate of practice, the limited licence holder, and any members of the Association or other limited licence holders who are involved in such practice (including by virtue of their ownership interest in the holder of the certificate of practice) may be subject to professional regulatory proceedings and/or other legal proceedings and liability.

The use of the term "certificate of practice" in this document shall at all times refer to a certificate of practice issued by the OAA unless otherwise stated.



General

When filing an Application for Certificate of Practice, include the following:

- 1. *Curriculum Vitae
- 2. *Notification to Employer
- 3. *Engineers Certificate of Authorization
- 4. *Business Registration
- 5. Payment of Applicable Fees (refer to Payment of Fees form).

*submit copy

- Allow approximately four to six weeks of processing time from the date of receipt of the completed application and all required documentation. An incomplete application will be delayed as it may be returned for completion. NOTE: ENSURE YOU HAVE ANSWERED ALL QUESTIONS OR YOUR APPLICATION WILL BE DEEMED INCOMPLETE.
- 2. All sections of the application are to be completed. The terms "Not Applicable" and "N/A" may be used where sections do not apply.
- 3. All documentation submitted will be verified.
- 4. To satisfy the currency requirement outlined in Subsection 17(2) of the *Architects Act*, the Licensed Technologist who is a principal of this practice and who is not currently a holder of a certificate of practice, must enclose an updated curriculum vitae with a chronological record of work experience covering at least the five years immediately preceding the date of this application.
- 5. **Notification to Employer Regulation 27 Subsection 34(b):** The Licensed Technologist, who is a principal of this practice and is currently employed elsewhere must submit a copy of the notification provided to the employer (Notice) together with confirmation that the employer received the Notice. Confirmation can take the form of:
 - (a) an email to the employer attaching the notice and associated acknowledgement,
 - (b) a copy of the Notice signed by the employer, or
 - (c) such further and other evidence as deemed acceptable by OAA staff.
- 6. The **Standards of Practice** include, but are not limited to, Sections 47, 49 and 50 of Ontario GENERAL <u>Regulation</u> 27 (the regulations), as amended.

7. REAPPLICATION OR REINSTATEMENT REQUIREMENTS

- i. A former Licensed Technologist who has voluntarily surrendered their certificate of practice may submit an application to **reapply** for a certificate of practice.
- ii. A former Licensed Technologist whose certificate of practice was cancelled may make application to **reinstate** the certificate of practice.
- iii. Please note that the applicant must:
 - a) provide evidence to the Registrar that the person who will personally supervise and direct the practice of architecture has, before the date of the application, completed a cycle of Continuing Education that meets the requirements of the Continuing Education program established under Section 54 of the Regulation.



- b) file with the Registrar an Undertaking in which they affirm that they have not engaged in the practice of architecture in the Province of Ontario from the date of surrendering or cancellation of their certificate of practice, except as an employee of a holder of a certificate of practice or as an employee of an organization that did not offer architectural services to the public.
- 8. If this practice intends to provide engineering services, enclose a copy of the current Certificate of Authorization issued by the Association of Professional Engineers Ontario (PEO) under the *Professional Engineers Act* of Ontario.
- 9. The Business Names Act (BNA) applies to all types of business that operate under a name other than the owner's name. For further details, contact the Companies and Personal Property Security Branch at 416-314-8880 or check the website www.ontario.ca. If applicable, enclose a copy of the Business Registration from the Companies and Personal Property Branch, Ministry of Government Services.

If the business operating under a certificate of practice uses a business name other than that contained on the certificate of practice, the holder of the certificate of practice and all OAA members working under it must ensure compliance with the following:

- a) The Office of the Registrar of the OAA must be notified of all other names used, including names that are adopted after the date of this application; this includes informal or shortened forms of the name of the practice or acronyms.
- b) The other business names must be registered with the OAA as "DBA" (Doing Business As).
- c) The name of the holder of the certificate of practice must be included in all significant documents (e.g. contracts, invoices, letterhead, business cards, architectural drawings, etc.) so that the public can identify the official name of the entity with whom they are dealing.
- d) Accuracy in the OAA Register is critical to allow the OAA to regulate the profession of architecture and protect the public interest. Failure to provide all names to the Office of the Registrar may result in proceedings for professional misconduct based on making misrepresentations in this application or failing to update a relevant particular in this application.
- 10. **Mobility of Architectural Practices to Ontario:** In order to hold a certificate of practice, a holder of a limited licence in Ontario is subject to the requirements of the *Architects Act*, the regulations, and OAA Bylaws, including those relating to professional liability insurance coverage.
- 11. All documents prepared by a Licensed Technologist that govern a project's construction, alteration, or enlargement must be sealed with **an** OAA seal issued to that member by the OAA. For more information regarding OAA professional seals, visit the OAA Website here.

Applying for an OAA seal

- 1. An **applicant for a certificate of practice** may apply for a seal after their certificate of practice has been approved or have a seal when making application for the certificate of practice.
- 2. For an **employee of a holder of a certificate of practice** to be eligible for a seal, the holder of the certificate of practice must provide confirmation in writing to the OAA indicating that the seal will be used only for projects under that holder.
- 3. For an **employee of other than a holder of a certificate of practice** (e.g. federal or provincial government, banks, etc.) to be eligible, the employer must provide confirmation in writing to the OAA indicating the seal will be used only for projects owned by the employer; the entity that owns the projects must be the one and the same that employs the licensee.

Once you have a seal

1. The employer must notify the Office of the Registrar at officeoftheregistrar@oaa.on.ca of any changes to the Certificate of Practice.



2. The employee must immediately notify the Office of the Registrar at officeoftheregistrar@oaa.on.ca of any changes to their employment status.

Questions regarding the seal can be directed to the Office of the Registrar at officeoftheregistrar@oaa.on.ca.

Once complete, submit your application to the Office of the Registrar at officeoftheregistrar@oaa.on.ca. To accompany the issuance of a seal, the OAA will provide the applicant with high-resolution images of their seal to be used in conjunction with their official seal.

OAA Seals must remain under the sole control of the limited licence holder; they cannot be shared or delegated under any circumstances.

10. All applicants for a certificate of practice with an office in Ontario must also submit an application for annual professional liability insurance coverage for the practice, unless the certificate of practice application represents a change in particulars of an existing holder of a certificate of practice with an office in Ontario.

Please contact Pro-Demnity Insurance Company for the appropriate forms.

All applicants for a certificate of practice with an office outside of Ontario or whose primary function is not to engage in the practice of architecture and whose certificate of practice was issued under Subsections 14(2) or 15(a)(ii) or Sections 18 or 19 of the *Architects Act* must submit an application for Exemption from annual professional liability insurance coverage with Pro-Demnity Insurance Company.

Note: If a holder of certificate of practice located outside of Ontario:

- a) moves to Ontario; or
- b) decides to open an office in Ontario;

the holder's office in Ontario is required to be insured under Pro-Demnity Insurance Company and an Application for annual practice insurance must be submitted.

12. The **fees** for certificate of practice are set out on the Payment of Fees form.



Completion of the Application

A. APPLICATION CATEGORIES

New Practice applies to an applicant who is not currently a holder of a certificate of practice, or who is currently a holder of a certificate of practice, and this is a new and separate certificate of practice.

Change in Particulars of Existing Practice applies if the applicant is currently a holder of a certificate of practice and there is a change to the business structure or the name of the certificate of practice.

If there are current projects for which architectural services are being provided by the existing practice, then:

- a) the certificate of practice for the existing practice must be maintained (refer to page 1; item 6) until completion of the architectural services; or
- b) these projects must be assigned to the holder of the new certificate of practice.

Note: Advise the OAA in writing whether a) or b) applies.

Personal Supervision and Direction: An OAA member in this practice must personally supervise and direct the practice of architecture on a Full-Time basis.

B. IDENTIFICATION

OTHER OFFICE

If it is the intention of the practice to have more than one office registered with the OAA (i.e. the principal and 'other office[s]'), each registered office must comply with the Standards of Practice (General section, item 6) and payment must be submitted for each 'other office' (refer to the Payment of Fees form).

C. PRACTICE HISTORY

Identify any certificate of practice not currently in good standing and provide the particulars.

D. PRACTICE STRUCTURE

In order for the Association to maintain a current Register of its Licensed Technologists and holders of certificates of practice, it is important to keep the practice structure correct and up to date. Report all changes in writing to the Office of the Registrar.

Ownership – refer to Appendix 2 and Section 15 of the Architects Act.

A Partnership cannot be an LLP.

E. DECLARATION

The solemn declaration serves as a formal attestation by the applicant that all the information provided is truthful and accurate. This verification helps ensure the integrity of the registration process by preventing the submission of false information. Additionally, it holds the applicant legally accountable, as providing misleading details can result in legal consequences.

The declaration underscores the seriousness and professionalism of the application process. By signing, applicants confirm their understanding of and commitment to adhering to the relevant laws, regulations, and ethical standards governing their profession once licensed.



Appendix 1 – List of Documents

OAA Official Documents

Acquired specific knowledge:

- The Architects Act, R.S.O. 1990, c.A.26 https://www.ontario.ca/laws/statute/90a26
- Ontario Regulation 27, R.R.O. 1990, Reg. 27 https://www.ontario.ca/laws/regulation/900027
- 3. Bylaws of the Ontario Association of Architects
- 4. Ontario Association of Architects Standard Form Contracts for Services

Acquired general knowledge:

- 5. Regulatory Notices (formerly Practice Bulletins)
- 6. Practice Tips (formerly Practice Bulletins)

Acquired **general** knowledge of *Ontario Building Code Act* 1992, 1992, S.O. 1992, c. 23 and the Building Code, O. Reg 332/12 and provincial statutes, regulations, and codes applicable to the design, construction, enlargement, or alteration of buildings, such as but not limited to:

- Construction Act, R.S.O. 1990, c.C. 30;
- Planning Act, R.S.O. 1990, c. P.13;
- Occupational Health & Safety Act, R.S.O. 1990, c. O.1;
- Fire Protection and Prevention Act, 1997, S.O. 1997, c.4 and the Ontario Fire Code, O. Reg 213/07;
- Health Protection & Promotion Act, R.S.O. 1990, Chapter H.7;
- Ontario New Home Warranties Plan Act, R.S.O. 1990, Chapter O.31; and
- Technical Standards and Safety Act, 2000, S.O. 2000, c. 16.

Note: All Provincial statutes, regulations, and codes are as amended.

The above documents are available for download at www.e-laws.gov.on.ca or may be purchased by contacting the Ontario Government Bookstore at College Park Building, 777 Bay Street, Toronto, Ontario M6G 2C8 (416) 326-5300 or through ServiceOntario Publications at www.publications.serviceontario.ca



Appendix 2 – Voting Interest and Financial Interest of Partnerships

It is a condition of every certificate of practice that is held by a limited licence holder, or under which a limited licence holder personally supervises and directs the practice of architecture, that the certificate is subject to the same terms, conditions and limitations to which the limited licence is subject.

Section 15 of the Act:

Issuance of certificate of practice to partnership

- (1) The Registrar shall issue a certificate of practice to a partnership that proposes to engage in or hold itself out as engaging in the practice of architecture if the partnership applies for the certificate in accordance with the regulations and,
 - (a) at least 51 per cent, or such greater proportion as may be prescribed by the regulations, of the voting interest and of the financial interest in the partnership is directly or indirectly held by,
 - (i) one or more members of the Ontario Association of Architects,
 - (ii) one or more members of the Association of Professional Engineers of Ontario, or
 - (iii) one or more members of the Ontario Association of Architects and one or more members of the Association of Professional Engineers of Ontario;
 - (b) in the case of a partnership to which subclause (a) (ii) applies, the partnership employs at least one member of the Ontario Association of Architects who will personally supervise and direct on a full-time basis the practice of architecture by the partnership; and
 - (c) in the case of a partnership to which subclause (a) (ii) or (iii) applies, the partnership holds a general certificate of authorization.

Voting Interest

Voting interests are generally set out in the partnership agreement. The following examples illustrate the rules requiring voting interests. In essence, OAA members and PEO professional engineers must own and control the majority of voting interest.

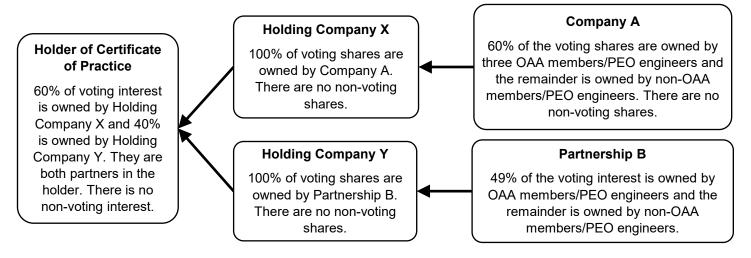
Example No. 1 – Acceptable

Holder of Certificate of Practice

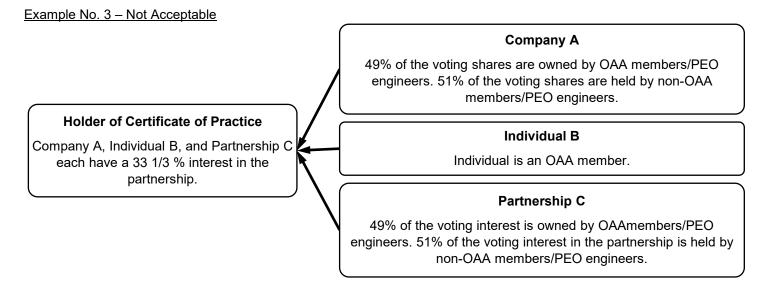
51% of voting interest is owned by OAA members/PEO professional engineers 49% of voing interest is owned by non-OAA members/non-PEO professional engineers

This example is acceptable because 51% of the voting interest is owned by OAA members and PEO professional engineers.





This example is acceptable because the OAA members/PEO professionla engineers owning the interest of Company A own the majority of voting interest of the Holding Company X that owns the majority of the interest of the holder of the certificate of practice.



This example is not acceptable because two-thirds of the voting interest in the Holding Company (which owns all of the voting interest of the holder of the certificate of practice) is controlled by entities that are under the majority control of non-OAA members and non-PEO professional engineers. Even though OAA members and PEO professional engineers hold substantial minority voting rights in all the entities, this structure does not pass the voting interest test.

Financial Interest

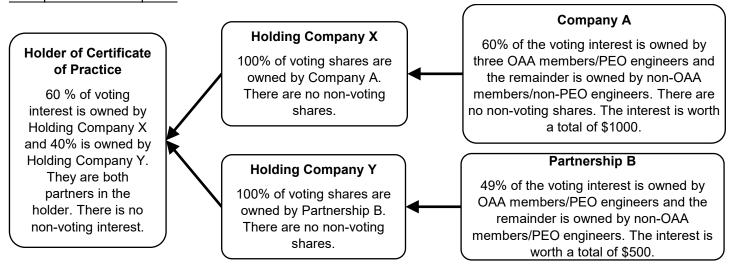
Example No. 1 - Acceptable

Holder of Certificate of Practice

100% of voting interest is owned by OAA members/PEO professional engineers and is valued at \$1000. 100% of non-voting interest is owned by non-OAA members/non-PEO professional engineers and are valued at \$500.

This example is acceptable because the value of the voting interest owned by OAA members /PEO professional engineers is twice that of the shares owned by the non-OAA members /non-PEO professional engineers.

Example No. 2 - Acceptable



This example is acceptable because the total value owned by OAA members /PEO professional engineers is more than 51% of the value.

- Company A, OAA members /PEO professional engineers value total 60% of \$1000 = \$600.
- Partnership B, OAA members /PEO professional engineers value total 49% of \$500 = \$245.
- Thus OAA members /PEO professional engineers value is \$845 of \$1500 = 57%.



Holder of Certificate of Practice

Company A, Individual B, and Partnership C each have a 33 1/3 % interest in the partnership.

Company A

100% of the voting interest is owned by OAA members/PEO engineers valued at \$1000. The non-voting interest held by non-OAA members/non-PEO engineers is worth \$2000 because of guaranteed dividend payment provisions.

Individual B

OAA member B's interest in the partnership is valued at \$1000.

Partnership C

100% of the voting interest is owned by OAA members/PEO engineers valued at \$1000. The non-voting interest held by non-OAA members/PEO engineers is worth \$2000 because of guaranteed contractual payment provisions.

This example is not acceptable because the value of the non-voting interest, which is all held by non-OAA members /PEO professional engineers, is worth more than the voting shares owned by the OAA members /PEO professional engineers.





Toronto, ON M3B 3L6

Canada

416-449-6898 oaamail@oaa.on.ca oaa.on.ca

Payment of Fees - 2025

Certificate of Practice – Partnership Licensed Technologist

Fees		cations approved en Jan 1 & Jul 31		ations approved en Aug 1 & Dec 31
Application fee (non-refundable)		\$342.39		\$342.39
Annual fee (based on number of OAA members within the practice):				
practice with one Licensed Technologist (minimum fee); plus,		\$412.45		\$206.23
fee for each additional OAA member within the practice	x	\$290.98	x	\$145.49
Other Office	x	\$136.73	x	\$136.73
Total	\$		\$	
NAME OF APPLICANT:				

NAME	OF APPLICANT:			
PAYME	ENT METHOD:			
	Cheque		Cash	Interac e-Transfer
	Money Order		Online Banking	Credit Card
	Bank Draft	•		

The fees listed above are in Canadian funds and include the Harmonized Sales Tax (HST).

If you already have an OAA account/identification number, you may use online banking or Interac e-Transfers at this time.

Online Banking: Online banking bill payment is available for major Canadian banks (i.e. CIBC, RBC, Scotia, TD, BMO, Credit Union, and National). Note: You must use your OAA account/ID number to ensure the OAA receives the correct information from the bank to process your payment. Visit the OAA Website (Methods of Payment) for online banking instructions.

<u>Interac e-Transfer:</u> Interac e-Transfers can be sent to <u>OAAFees@oaa.on.ca</u>. Note: You must enter your OAA ID in the message box to ensure the OAA receives the correct information from the bank to process your payment. Visit the OAA Website (<u>Methods of Payment</u>) for Interac e-Transfer banking instructions.

<u>Credit Card:</u> Note: There is a 2.5% convenience fee for Credit Card payments that is non-refundable once the payment has been made.

- 1. Complete the application package and submit by email to officeoftheregistrar@oaa.on.ca.
- 2. Visit secure.oaa.on.ca/store to pay your certificate of practice application fee by credit card.
- 3. Once the application fee and form are received, the Office of the Registrar will begin the review process.
- 4. When your application has been reviewed, OAA Finance will provide a link to pay your certificate of practice fee.
- 5. Once the Office of the Registrar receives notification of payment from OAA Finance, a letter of approval will be issued in due course.



Application for Certificate of Practice

111 Moatfield Drive 416-449-6898
Toronto, ON M3B 3L6 oaamail@oaa.on.ca

Partnership - Licensed Technologist

Car	nada oaa.on				·	· ·		
 A. BASIS OF APPLICATION (please check the approprious of the control of			riate application category) Reapplication (Certificate of Practice previously surrendered) Reinstatement (Certificate of Practice previously cancelled)					
В.	IDENTIFICATION	(Use suppleme	entary sheets if nece	ssary)				
1(a	a)Name of Practice:	(please print	exactly how name s	should appear in OAA Reg	nister, including upper a	nd lowercase)		
1(k	b) Other Name(s) use	ed:	any other name use	ed in connection with the b	ousiness of the practice)		
2.	Principal Office:	Street				Suite No.		
		City Tel: (Email:)	Province/State/Territory Fax (Country) Voice Mail: Yes □	Postal/Zip Code		
3.	Other Office: (if applicable)	Street				Suite No.		
		City Tel: (Province/State/Territory	Country	Postal/Zip Code		
		E-mail:			Voice Mail: Yes □	No □		
4.			icensed Technologis fice (items 2. and 3.	t who is Personally Superabove):	vising and Directing (PS	SD) the holder's		
	Licensed Techn Name in F	-	a) Office Location (city) where PSD	b) Licensed Technologis Address and Tele		Distance in km between a) & b)		
()	print)							
(signature)							
	print) signature)							

C.	PRACTICE HISTORY (Use supplementary	y shee	ts if ne	cessar	y)					
1.	 Have any of the members of the partnership previously held a certificate of practice issued by the OAA? 								Yes □	No□
2.	Are any of the members of the partnership or employees currently an owner or employee of another certificate of practice issued by the OAA or an organization that is not the holder of a certificate of practice?								Yes □	No⊏
3.	Is this partnership engaged in functions of this practice provides engineering services Authorization issued under the Professiona	Yes □	No⊑							
4. Has any member of the partnership or any employee ever been convicted of an offence in this or any other jurisdiction that may be relevant to whether the partnership will engage in the practice of architecture in accordance with the law and with honesty and integrity?								Yes □	No□	
5.										
lf y	ou have answered "yes" to any of the ab	ove qu	uestio	ns, us	e a su	pplem	entar	y shee	et to provide dates and de	tails.
D.	PRACTICE STRUCTURE (This Practice C	Only. L	Jse sup	ppleme	entary	sheets	s if nec	essary	<i>(.</i>)	
1.	Ownership: Voting Interest and Financial In	nterest								
	What percentage of the voting interest of the partnership is directly or indirectly controlled and owned by Licensed Technologists? (See Appendix 2)%									
	2) What percentage of the voting interest or professional engineers? (See Appendi				direct	ly or in	directl	y contr	rolled and owned by PEO	
	professional engineers? (See Appendix 2)% 3) What percentage of the financial interest of the partnership is directly or indirectly held by Licensed Technologists? (See Appendix 2) %									
	4) What percentage of the financial interest of the partnership is directly or indirectly held by PEO professional engineers? (See Appendix 2)%									
2.	 List all OAA members and PEO professional engineers who will be employees of this certificate of practice. Check all appropriate categories. 									
				st	ect	eer	Seal	r Seal		
Ν	Name in Full <i>(please print)</i>	Full-time	Part-time	Licensed Technologist	OAA Architect	PEO Engineer	Holds OAA	Applying for Seal	Office Location (city only)	
Ш		1		1	1	1	1	1	I	



E. DECLARATION

I DO SOLEMNLY DECLARE:

THAT I am applying for a certificate of practice under the Architects Act of the Province of Ontario;

THAT in regard to the documents listed in the Guidelines for completion of an application for a certificate of practice, I have and will continue to maintain:

- a. the OAA Official Documents:
- b. unrestricted access to all other listed documents;
- c. general knowledge of the contents of the documents identified under "General Knowledge;" and
- d. specific knowledge of the contents of the documents identified as "documents OAA members must be familiar with;"

THAT I agree to comply with the Architects Act, the regulations, and OAA Bylaws, all as amended from time to time;

THAT I understand that only a Licensed Technologist who is a holder of a limited licence who also has a certificate of practice, or is employed by a certificate of practice, is permitted to offer and/or provide to a member of the public a service that is part of the practice of architecture;

THAT I understand it is a condition of every certificate of practice that is held by a limited licence holder, or under which a limited licence holder personally supervises and directs the practice of architecture, that the certificate is subject to the same terms, conditions and limitations to which the limited licence is subject;

THAT the facts set out in this application for a certificate of practice are true and correct in every particular;

THAT I have an ongoing obligation to update the OAA if there have been any changes to the Good Character questions of this application and a failure to do so may constitute professional misconduct;

THAT I will respond within the timeframes specified by the OAA to any inquiries related to my limited licence or certificate of practice history and good character and I will cooperate with any investigation related thereto;

AND THAT I MAKE THIS solemn declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

IN ADDITION, I consent and authorize other jurisdictions where I have been authorized to practise architecture, to provide the OAA with all information and documents that relate to any past, current, or pending investigations or proceedings involving my conduct or competence;

AND, I consent and authorize the OAA to release and disclose to any other jurisdictions where I am seeking authorization or am currently authorized to practise architecture, all information and documents that relate to any past, current, or pending investigations or proceedings involving my conduct, competence, or good character.

Signature of a member of the Partnership	Date	
Signature of Licensed Technologist who will Personally Supervise and Direct this practice	Date	
Signature of Licensed Technologist who will Personally Supervise and Direct other office	Date	





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Undertaking to the Ontario Association of Architects

in the practice of architecture in Ontario, except:					
 as an employee of a holder of a certificate of practice; or as an employee of an organization that did not offer architecture. 	tectural services to the public.				
Name (please print)	Date				
Signature					